

RECOGNITION FOR ALTFFEST PERSONAL WEALTH MANAGEMENT®

RIA Edge 100

WealthManagement.com, 2023

Leading Registered Investment Advisors in the U.S.

Financial Advisor, 2006 - 2010, 2012 - 2023

30 Best New York City Financial Advisors

Expertise.com, 2021, 2022, 2023

Best Places to Work in NYC

Crains New York Business, 2020, 2022

Top Rated Advisors in New York, NY

AdvisoryHQ, 2016, 2017, 2018, 2019, 2020, 2021

Best Place to Work for Financial Advisers

Investment News, 2019, 2020

300 Top Registered Investment Advisors in the Nation

Financial Times, 2017

Best Practices Award

InvestmentNews, 2014

Due Diligence/Research Manager

All-Star Team Award

Financial Advisor, 2012, 2013

Top 150 Wealth Managers

AdvisorOne, 2011, 2012*

Bloomberg's Top 300 Wealth Managers in the Nation

Wealth Manager, 2003 - 2008, 2010*



*Publication has merged or ceased publication

RECOGNITION OF OUR PRINCIPALS

Top 100 Independent Wealth Advisors in the Nation

*Barron's - Lew Altfest, 2013, 2014, 2015, 2016, 2017, 2018
2019, 2020, 2021, 2022, 2023*

Top 1,200 Financial Advisors in the Country

*Barron's - Lew Altfest, 2014, 2015, 2016, 2017, 2018,
2019, 2020, 2021, 2022, 2023*

Best-In-State Wealth Advisors

Forbes - Andrew Altfest, 2018, 2019, 2020, 2021, 2023

100 RIAs

Advisor Hub - Andrew Altfest, 2022, 2023

Top Women Wealth Advisors

Forbes, Karen Altfest, 2017, 2018, 2019, 2020, 2021, 2022

Best-In-State Wealth Advisors

Forbes - Karen Altfest, 2018, 2019, 2020, 2021, 2022

Notable Women in Finance

Crain's, Karen Altfest, 2018, 2019, 2020, 2021

America's Top Next-Generation Wealth Advisors

Forbes - Andrew Altfest, 2017, 2018, 2019, 2020

Young Advisors to Watch

Financial Advisor - Andrew Altfest, 2020

40 Under 40 in the Financial Advisory Industry

InvestmentNews, Andrew Altfest, 2018

America's Top 200 Women Advisors

Forbes - Karen Altfest, 2017

New York SmartCEO Family Business Award

SmartCEO - Karen Altfest, 2016

Top 20 Most Influential Women to Watch in 2016

InvestmentNews - Karen Altfest, 2015

Top 100 Women Financial Advisors

Financial Times - Karen Altfest, 2014

Advisor Hall of Fame Inductee

Research Magazine - Lew Altfest, 2014

The Influencers: Lifetime Achievement Award

Financial Planning - Lew and Karen Altfest, 2013

Best Financial Advisors for Dentists

Dental Practice Report - Lew Altfest, 2011, 2012, 2013

150 Best Financial Advisors for Doctors

Medical Economics - Lew Altfest, 1998-2013

NAPFA'S 30 Most Influential

*National Association of Personal Financial
Advisors - Lew Altfest, 2013*

Community Leadership Award

*InvestmentNews and Invest in Others Charitable Foundation -
Karen Altfest, 2012*

Sole recipient of the 2007 Charles R. Schwab

IMPACT Award™ - Lewis Altfest

Disclosures:

Forbes' Top Women Wealth Advisors developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of advisors with a minimum of seven years of experience & weighing factors like revenue trends, AUM, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Forbes' Best-In-State Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative criteria, mostly gained via phone and in-person due diligence interviews, and quantitative data. Advisors who are considered have a minimum experience of seven years. The algorithm weights factors like revenue trends, assets under management, compliance records, industry experience and those that encompass best practices in their business and in their approach to working with clients. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Barron's America's Top 100 Independent Wealth Advisors is based on AUM, revenue generated by advisors for their firm, and the quality of the advisors' practices. Investment performance is not an explicit criterion because performance is often a function of each client's appetite for risk. In evaluating advisors, they examine regulatory records, internal company documents, and 100-plus points of data provided by the advisors. There is no fee to participate.

Barron's state-by-state listing of America's Top 1,200 Financial Advisors lists the top advisors in each state, with the number of ranking spots determined by each state's population and wealth. The rankings are based on AUM, revenue generated by advisors for their firm, and the quality of the advisors' practices. Investment performance is not an explicit criterion because performance is often a function of each client's appetite for risk. In evaluating advisors, they examine regulatory records, internal company documents, and 100-plus points of data provided by the advisors themselves. There is no fee to participate.

Forbes' ranking of America's Top Next-Generation Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of professionals with a minimum of four years relevant experience. Factors reviewed include revenue trends, AUM, compliance records, industry experience and best practices. Also, interviews are held via telephone and in-person conversations. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Forbes' ranking of America's Top Women Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience and weighing factors like revenue trends, assets under management, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

RIA Edge 100 was developed by *Wealth Management IQ & Discovery Data*. ADVs of all SEC-registered investment advisors, AUM growth, ratio of employees to clients and advisors to clients, percentage of advisors with a CFP®, & average client account size were looked at. Qualifying firms provide financial planning services, have high-net-worth clients as more than 50% of their client base & have AUM of at least \$250 million as of 06/30/22. There is no fee to participate.

Advisor Hub's 100 RIAs to Watch (previously known as 50 RIAs to Watch) recognizes advisors based on scope of practice measured by AUM, production and level of service; growth of practice consisting of annual AUM growth and Professionalism which assesses regulatory record, community service & diversity. There is no fee to participate in the ranking.

Crain's New York Business' Best Places To Work ranking was developed with the independent research firm Best Companies Group. 75% of the scoring is from a survey on workplace experience and culture, answered by 20,000+ NYC employees. Employer benefits, policies, & human resources practices account for the remaining score. There is no fee to participate.

Expertise.com selects its list of 30 Best New York City Financial Advisors through a process that includes reviewing public databases and referrals, validating business qualifications and certifications, and assessing service capabilities and professionalism. This award does not evaluate the quality of services provided to clients and is not indicative of past or future performance of clients' accounts. There is no fee to participate.

InvestmentNews' 40 Under 40 recipients are selected after a review of key criteria editorial staff use to weigh the average 1,000 nominations received each of this project's five years, including accomplishment to date, contribution to the industry, leadership and promise. There is no fee to participate in the ranking.

InvestmentNews' Best Places to Work for Financial Advisors recognition is a survey competition to determine which participants are the best employers. The process is managed by Best Companies Group (BCG) and winners are published in an issue of Investment News. The process includes 2 surveys to gather detailed data about each participating company. BCG conducts the surveys, analyzes the data and determines the winners and rankings.

Financial Advisor's Young Advisors to Watch criteria is based on nominations from influential industry professionals of advisors age 40 and below - if more than one professional nominates a candidate, they are then considered on a number of qualitative factors, including thought leadership, innovative thinking, and more. Assets and accreditation are not factored into this recognition. There is no fee to participate.

Crain's Custom editors choose candidates based on their professional, civic and philanthropic achievements. For Notable Women in Finance, they only consid-

ered candidates working in asset management, banking, capital markets or credit cards who worked within the five boroughs of NYC. They often try to choose candidates who greatly contribute to the advancement of women in their workplace or industry as a whole.

The Family Business Awards program honors family businesses that make up the backbone of the American economy. Companies must be family owned and operated, headquartered in New York, and generate a minimum of \$2.5 million in annual revenue. There is no fee to participate in the ranking. Honorees are evaluated and chosen by an independent panel of local business leaders and assessed based on their company governance, growth, internal processes and building a legacy.

InvestmentNews' Top 20 Most Influential Women to Watch in 2016 eligibility criteria establishes that a recipient has demonstrated success and leadership in the financial advisory industry, has the ability to effect change in the industry, has exhibited a willingness to share her expertise with others through mentorship and public speaking, has a record of serving as a role model to other female professionals, and has given back to her community. There is no fee to participate in the ranking.

The "30 Most Influential" program recognizes NAPFA members and staff who have made an impact on fee-only financial planning, the group and their peers since NAPFA's founding in 1983.

InvestmentNews' and Invest in Others Charitable Foundation presents the Community Leadership Award to a financial advisor who has shown remarkable charitable leadership and personal commitment.

IMPACT Award Nominations are made by industry professionals and judged by a panel of recognized experts. The IMPACT Awards recognize independent investment advisors who have fundamentally changed the financial services landscape by providing independent advice to affluent investors. There is no fee to participate in the ranking. Established by Schwab Institutional, this award recognizes these contributions by honoring advisors who have advanced the industry through their visionary leadership, operational excellence and technology innovation.

AdvisoryHQ's ranking methodology is based on a wide range of filters including fiduciary duty, level of customized service, and history of innovation. There is no fee to participate.

The *Financial Times* 300 Top Registered Investment Advisors list is independently produced by *Financial Times*. The FT 300 is based on data gathered from RIA firms, regulatory disclosures, and FT's research. The listing reflects each practice's performance in six primary areas: assets under management, asset growth, compliance record, years in existence, credentials and online accessibility. This award does not evaluate the quality of services provided to clients and is not indicative of the practice's future performance. There is no fee to participate.

The *Financial Times* Top 100 Women Financial Advisors list is independently produced by *Financial Times*. Advisors have to manage at least \$200 million in assets under management (AUM) and have 10 years of experience. Qualified advisors were then scored on six attributes: AUM, AUM growth rate, compliance record, experience, industry certifications and online accessibility. There is no fee to participate.

The *Financial Advisor* ranking of Leading Registered Investment Advisors in the US looks at year end assets under management of RIAs, publishing a ranked list by AUM. There is no fee to participate.

Research Magazine inducts Advisor Hall of Fame honorees who pass their screening process, have served a minimum of 20 years in the industry, have acquired substantial assets under management, have demonstrated superior client service and have earned recognition from their peers and the broader community for the honor they reflect on their profession. There is no fee associated with securing this honor.

The *Financial Planning* Influencer Award is presented to a practitioner who has made significant contributions to the financial planning profession. The Lifetime Achievement Award is given for advancing the industry throughout the course of a recipient's career, thus changing financial planning for the better. There is no fee associated with consideration of this honor.

Medical Economics' 150 Best Financial Advisors for Doctors list highlights advisors with expertise in working with doctor clients. The publication reviews peer references, conducts a background check, & reviews data submitted by the advisor. A particular focus is given to fee-only financial advisors due to their objective advice.

Dental Practice Report's Best Financial Advisors for Dentists list reviews recommendations from dentists and conducts a review of the advisor's professional conduct to ensure that there are no disciplinary action against the advisor. Candidates must have 10 years of professional experience and submit a questionnaire that highlights credentials, achievements, and information regarding work with dentists.

The *InvestmentNews* Best Practices awards recognize top-performing firms that are run by people with a long-term vision for their organizations and an ongoing commitment to improving as well as enhancing their businesses. Recipients are all participants in *InvestmentNews'* Research initiatives and chosen based on their rate of growth, profitability and productivity levels. There is no fee to participate in *InvestmentNews'* Research initiatives.

Nominations for an All-Star Research Team are sought from readers of *Financial Advisor* and *Private Wealth Management* magazines as well as from attendees of the Fiduciary Research Manager Summit. Nominations from individuals outside a nominee's firm are weighted far more heavily than those who were nominated by themselves or their partners. There is no fee to participate in the ranking. Frequency of a nomination also played a role in selection. While the top nominees have different investment philosophies and disciplines, they all have a practice or strategy that sets them apart. There is no fee to participate in the nomination process.

AdvisorOne's (previously known as *Wealth Manager*) Top 150 Wealth Manager ranking, previously known as Bloomberg's Top 300 Wealth Managers ranking, is an independent listing of Registered Investment Advisors. Applicants submit a thorough application, which includes assets under management, firm growth, client retention, and multi-year growth. There is no fee to participate in the ranking.